2936



4675 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SA Patent Application

Reinhold Berberich

Serial No.: 09/833,098

Filed: April 11, 2001

OVERVOLTAGE PROTECTION DEVICE

Group art unit: 2836

CERTIFICATE OF MAILING ON LAST PAGE

Hon. Commissioner of Patents & Trademarks Washington, D.C. 20231

SIR:

INFORMATION DISCLOSURE STATEMENT

Respectfully submitted herewith is a copy of the German Patent Office Search Report (3 pages) from one of the parallel German applications 100 41 290.4 of which the present application claims priority, together with copies of its 10 cited references.

In the German Patent Office Search Report all the references are listed with a Y meaning that these references together with other reference(s) of this category place the inventive level in question with respect to the listed claims. In the German Patent Office Search Report the words "Kategorie" means category, "Ermittelte Druckschriften/Erläuterungen" and the like mean transmitted publications/explanations, "Betrifft Anspruch" mean concerning claim, and "siehe restliche Entgegenhaltungen auf Anlage 1" means see remaining references on attachment 1. Since this translation now points out in English the relevant portions of the citations listed in the German Patent Office Search

Report, no further concise explanation is required. Nevertheless please see Figs. and corresponding text of the references for relevance.

Also submitted herewith is the European Patent Office Search Report (3 pages) together with copies of its four cited references.

In the European Patent Office Search Report the references listed with an A mean these references define the general state of the art which is not considered to be of particular relevance with respect to the listed claims. The references listed in category X mean these documents are of particular relevance, and the claimed invention cannot be considered to involve an inventive step when the document is taken alone with respect to the listed claims. The reference listed with a P means the document was published prior to the international filing date but after the priority date of the international application. In the European Patent Office Search Report the words "Einschlägige Dokumente" means relevant document, "Kategorie" means category, "Kennzeichnung des Dokuments mit Angabe, soweit erforderlich, der massgebilchen Teile" means designation of the documents with statements as far as necessary of the relevant parts, "Betrifft Anspruch" means concerning claim, "Seite" means page, "Zeile" means line, "Abbildung(en)" means Fig(s). or illustration(s), "Zusammenfassung" means abstract or summary, and "das ganze Dokument" means the entire document. Since this translation now points out in English the relevant portions of the citations listed in the European Patent Office Search Report, and since the UK Patent Application and the Japanese Patent Abstract are in the English language, no further concise explanation is required.

BEST AVAILABLE COPY

Three PTO-1449 forms are presented to list all 14 references, 10 listed in the German Patent Office Search Report and 4 listed in the European Patent Office Search Report, all of which are enclosed herewith. Translations of the German language documents are not available to attorney for applicants.

This submission meets the requirements of 37 CFR §1.97 and 1.98 and MPEP 609.

Respectfully submitted, Reinhold Benberich

by:

MARTIN A. FARBER Attorney for Applicant Registered Representative Registration No. 22,345

CERTIFICATE OF MAILING UNDER 37 CFR SECTION 1.8(a)

I hereby certify that the accompanying Information Disclosure Statement, German Patent Office Search Report, European Patent Office Search Report, three PTO-1449 forms and 14 references are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissionar of Patents & Trademarks, Washington, D.C. 20231, on August 9, 1001

Dated: August 9, 2001

866 United Nations Plaza New York, NY 10017 (212) 758-2878 Martin A. Farber

OIPEROIP	E voiet
AUG 1 3 1001	SE S
The Seal of the left	JE MAIN

	RMA	TI	9	1)IS	C	LO	SURE C	ITATION	4	46751		09/	833,0	98		
		IN	A	N A	AP	PI	LIC	CATION		Faire Date PRIL II, 2001 Group Art Unit 2836							
	(03)	-		3 811	100.2	3 12 1	nec	essey)	II S DATE					2836			
XAMHER INTIAL	DO	CUL	IEN	TH	UMB	BER	П	DATE	, 0. 3. FAIL	HAME	DOCUMENTS CLASS			RUNG DATE			
			Ì	٦	Ī	Ì	1							· APPRI	PHEAT		
			1		T	1	7										
	T		i	-	İ	i	7										
······································	十			_				,									
	十				H		\dashv						 				
	+		Н		H	_	\dashv						-				
	+-	-			H		_	,	 	······			-				
	╁	L			H								 				
	-	\vdash		_									}				
	╁	┞	Н		H								1				
	+	<u> </u>											-				
									FOREIGN PA	TENT DOC	LIMENTS		<u> </u>				
	T 00	CUI	IEN	TN	UMB	ER	Т	DATE		UNTRY		USB	SUBCLASS	Transistion YES NO			
	10	7	_	ī	7,1	7	$\overline{\Lambda}$	6/2/99	GERMA	MV							
	13	/) 기	7	7		씱	6/2/99	GERMA								
	3	0	4	7	#	2	4	8/23/90									
		ヒ	\mathbf{v}	_				0/10/00			 -		 		 		
	3	_	-	-	4		_	9/14/8 <u>9</u> 9/3/87	GERMA						1		
	3	Ø	V	0	2	0	<u> </u>	3/3/8/	OTHER DOC	UMENTS	(Including Author,	Tide, C	Date, Pertinent	Pages, El	ic.)		
	7	1	À	ΤF	Ν	T	A	BSTRA	CTS OF .	JAPAN	VOL. 1998	NO.	<i>II, <u>9/</u>30</i>	/98,			
		8	٠٠٠	Jŀ	5	10)	54854	A (NEC H	OME ELEC	TRON LTD), 6	/9/98				
	+	T	_												<u> </u>		
		╁	_			_											
	十	\dagger				-	_										
	-	十			_	_											

PTO/SB/ 08 (11-90) ---

Patent and Trademark Office; U.S.DEPARTMENT OF COMMERCE



m PTO- INFO	RMA	IT.	ON	D	IS	C	LÇ	SŲ	RE (TATIC	ION .	Applica		46 (RBER	5 U	3	09	/833,0	98
	(Us							JA I Kassar				Filing	APRIL	II 2	201	_	oup Art Unit	2020	
	•		_							u.	S. PA	TENT D			101			2836)
RAMMER	DO	CUL	ENT	NU	JME	EF		DAT	ī		0,,,,,	MAME	0001112		CLA		SUBCLASS	BUN	O DATE
			Т	T	1	٦	٦								-	_	. 4480000	IF APPR	DATE OPRIAT
	╁	\dashv	+	+	\dashv	\dashv	-			 	-				 		 		
<u> </u>	+		+	+	4	ᆛ	_	_							-				
	+		4	4	4	4			<u>.</u>	 							ļ		
			4	4	_	_				<u> </u>					<u> </u>				
										<u> </u>									
		П		٦															
-	Т	П																	
	\top		Ì		٦														
·	╁	Н	i	7	٦		Г			+								1	
	+	Н	+	+	1	_	┢	-		 					1		 		
	+		-	4	-	H	-			-					+		 	 	
						_	_			FOR	EIGN	DATENT	DOCU	MENT	<u></u>		<u>!</u>	<u> </u>	
	l po	CUMENT NUMBER DA						DA	TE	FOR		COUNTRY	ATENT DOCUMENTS			CLASS	SUBCLASS	Translation YES NO	
	+-	-1	٨	7	ΔĪ	_	_	0 12	2/91	(FDL	IANY			 			1.00	
	4	0	-+		쒸	4	6	 -				1ANY			1				1
	3	4	3	井	5	S			3/86	4					╁╾		 	 	+
	<u> 3</u>	8	4	Y	_	9	_	5/3	<u> </u>			<u>IANY</u>					<u> </u>	 -	┼─
	3	7	3	6	9	4	5	9/2	2/88			MANY			-				+-
	3	5	3	9	4	2	1	5/1	4/87			MANY					1	<u></u>	
		_		_	_	_				OTH	IER D	CUME	NTS (In	duding A	uthor, T	de, C	ete, Pertinen	Pages, E	1E.)
	-	_				_													
							_												
	<u> </u>	L				_													
		L				_													
																			
REMINER												DATE	COMMUNER	LD .					

rm PTO-	1449)		_	-		_	-		Docket Music	and (Opinson) ,	14	0000	01				
INFO	RMA	T	ΟŅ	1 5)IS	C	LO	SURE C	TATION	Applicant	46	15 US	09/	[/] 833,	098			
		IN	Al	N A	AP	PI		CATION		7,	BERBER	RICH						
	(US	-	V 012	a sh	1001	i i i	nec	essery)			APRIL II, 2	001	Group Art Unit 2836					
EXAMINER INTIAL	100	C111	AF AF	- N		_	7		U. S. PAT		CUMENTS							
INTIAL	DOCUMENT NUMBER							DATE		HAME		CLASS	. SUBCLASS	FILING DATE IF APPROPRIAT				
		\dashv	\dashv	4	\dashv	+	+					ļ						
			1	4	4	4	\dashv				 							
	+		4	_		4	4					ļ		<u> </u>				
	+-					4	4											
	_					_												
			Ц				_											
	T																	
		Γ	Π															
		_				_			OREIGN P	ATENT C	OCUMENT	S	· · · · · · · · · · · · · · · · · · ·	Trans	1-1			
- نظر المساح	000	DOCUMENT NUMBER C				ER	1	DATE		LUNTRY		CLSS	SUBCLASS	YES	NO			
	3	2	2	<u>6</u>	5	6	9[7/21/83	GERM.			<u> </u>			 			
	2		2	2	8	0	7	1/18/84	GREAT	BRIT	AIN				 			
	19	8	5	7	4	7	8	6/15/00	GERMA	YNF								
	1		П		П									<u> </u>				
					_	_			OTHER DO	CUMENT	S (Including A	uthor, Title, I	Date, Pertinent	Pages, E	ic.)			
	-	_				_												
	┷	L			_	_												
	_	_										•						
	_	L			_		_											
•						_												
											MEDERED							
			_	_														